



PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant(s):</b>	J.A. Barnett, et al.	<b>Examiner:</b>	Unassigned
<b>Serial No:</b>	09/735,060	<b>Art Unit:</b>	Unassigned
<b>Filed:</b>	December 12, 2000	<b>Docket:</b>	13362
<b>For:</b>	EXECUTION OF DYNAMIC SERVICES IN A FLEXIBLE ARCHITECTURE FOR E-COMMERCE		
		<b>Date:</b>	April 5, 2001

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

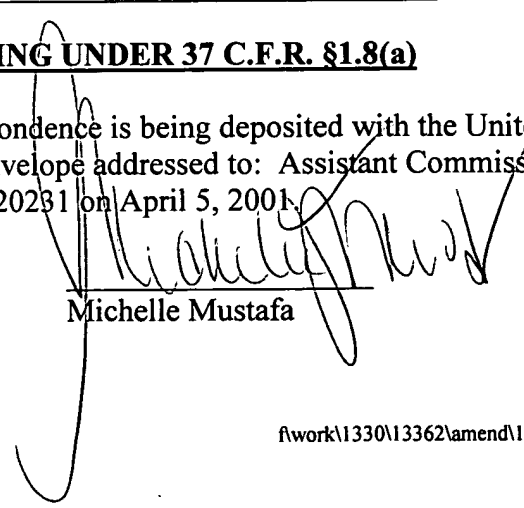
1. U.S. Patent No. 5,930,511, dated July 27, 1999, issued to Hinsley;
2. U.S. Patent No. 5,991,808, dated November 23, 1999, issued to Broder, et al.; and
3. U.S. Patent No. 6,026,425, dated February 15, 2000, issued to Suguri, et al.; and

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on April 5, 2001.

Dated: April 5, 2001

  
Michelle Mustafa

4. Jini Network Technology, "Jini[tm] Technology Architectural Overview"  
(January 1999).

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no petition, certification or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.  
Registration No.: 30,749

I  
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PJE:ahs

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.

13362

In Re Application Of: J.A. Barnett, et al.

APR 09 2001

PATENT &amp; TRADEMARK OFFICE

Serial No.

09/735,060

Filing Date

Dec. 12, 2000

Examiner

Unassigned

Group Art Unit

Unassigned

Title: **EXECUTION OF DYNAMIC SERVICES IN A FLEXIBLE ARCHITECTURE FOR E-COMMERCE**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

13362

In Re Application Of: J.A. Barnett, et al.

Serial No.

09/735,060

Filing Date

Dec. 12, 2000

Examiner

Unassigned

Group Art Unit

Unassigned

Title: **EXECUTION OF DYNAMIC SERVICES IN A FLEXIBLE ARCHITECTURE FOR E-COMMERCE****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/ssmp as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on

(Date) \_\_\_\_\_

Signature \_\_\_\_\_

Typed or Printed Name of Person Signing Certificate \_\_\_\_\_

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on April 5, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence \_\_\_\_\_

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence \_\_\_\_\_

\*This certificate may only be used if paying by deposit account.

Dated: April 5, 2001

Signature \_\_\_\_\_

Paul J. Esatto, Jr., Reg. No. 30,749  
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343

PJE:AHS

CC: